

PATENT



(Practitioner's Docket No. IN-5330)

gp1713

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Swaminathan RAMESH et al.

US Serial No. 09/747,473

Filed: December 22, 2000

Group Art Unit: 1713

Examiner: Not Assigned

RECEIVED
MAR 13 2003
GROUP 1700

WATER-BASED COATING COMPOSITION HAVING CARBAMATE – MELAMINE
CROSS-LINKING, METHOD OF PREPARING THE SAME, AND A CURED FILM
THEREOF

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that the attached correspondence comprising:

X	Information Disclosure Statement
X	From PTO-1449
X	Cited References

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on March 6, 2003

signature

Marjorie Ellis

(type or print name of person certifying)

(Certificate of Mailing under 37 CFR 1.8(a) [8-2])



PATENT

(Practitioner's Docket No. IN-5330)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Swaminathan RAMESH et al.

US Serial No. 09/747,473

Filed: December 22, 2000

Group Art Unit: 1713

Examiner: Not Assigned

RECEIVED
MAR 13 2003
GROUP 1700

WATER-BASED COATING COMPOSITION HAVING CARBAMATE – MELAMINE
CROSS-LINKING, METHOD OF PREPARING THE SAME, AND A CURED FILM
THEREOF

Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [], filed on []. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

☐ There are no foreign language documents listed on Form PTO-1449.

Information Disclosure Statement

Page 2

- ☐ The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.
- ☐ English language abstract for the following non-English references are enclosed.
- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:
- ☐ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

Information Disclosure Statement
Page 3

- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$230.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Reg. No.: **42,906**

 3-4-03
SIGNATURE OF ATTORNEY

Michael F. Morgan
Type or print name of attorney

Tel. No.: (248) 948-2355

BASF Corporation
26701 Telegraph Road
P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

FORM PTO-1449 (MODIFIED)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Atty. Docket No. IN-5330
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block;"> LIST OF ART CITED BY APPLICANT <small>(Use sever of sheets if necessary)</small> MAR 11 2003 PATENT & TRADEMARK OFFICE </div>		In re Application of: RAMESH, et al. Serial No.: 09/747,473 Filed: December 22, 2000
<div style="transform: rotate(-15deg); border: 1px solid black; padding: 5px; display: inline-block;"> RECEIVED MAR 13 2003 GROUP 1700 </div>		

U.S. PATENT DOCUMENTS							
Examiner initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AA	5,567,527	10/22/96	Webster et al.	428	412	02/21/95	
AB	5,756,213	05/26/98	Ohrbom et al.	428	412	08/15/96	
AC	5,856,382	01/05/99	Ohrbom et al.	523	414	10/03/96	
AD							
AE							
AF							

FOREIGN PATENT DOCUMENTS							
AG	EP 0 761 695	02.08.96	EP	C08F	8/00	X	
AH							
AI							
AJ							
AK							
AL							
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
AQ	
AR	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

Docket No. 5330

Name of Attorney	hpm	Due Date	soon
------------------	-----	----------	------

☐ Facsimile
☐ Fax No.

☐ Express Mail 1.10
☐ Transmittal Form

☒ Mailing 1.8(a)

☐ Amendment/Response/Reply
☐ After Final/Notice of Appeal
☒ Post Card

☒ Information Disclosure Statement before 1st office action
☐ See back for Additional Information regarding Art.
☐ File Search Report
 EP 761 645
 5, 567, 527

☐ Notice of Appeal
☐ Change of Attorney Address
☐ Convert a Provisional Application
☐ Petition
☐ Associate Power of Attorney
☐
☐ Appeal Brief
☐ Terminal Disclaimer
☐ Power to Inspect
☐ Change of Address
☐ Extension of Time.
☐ Total number of months
 5, 856, 382
 5, 756, 213

☐ (RCE) Application
☐ Amendment
☐ IDS
☐ Affidavit/ Declaration
☐ Other _____
☐ By Express Mail

☐ Utility Application
☐ Total Claims
☐ Total Independent Claims?
☐ Multiple dependent claim
☐ Inventorship

☐ Divisional Application.
☐ What Type?
☐ Copy of Declaration
☐ Prior Application no. for claiming priority
☐ Total Claims
☐ Total Independent Claims?
☐ Multiple dependent claim
☐ IDS from original application

☐ Provisional Application
☐ Conversion of Provisional
☐ Copies of Declaration and Assignment
☐
☐
☐
☐
☐ National Phase Application
☐ MX ☐ BR ☐ CA

☐ PCT EASY Application

☐ Response to Missing Parts

☐ Certified Copy of Priority Document(s)

☐ Article 19 Amendment

☐ Article 34 Amendment

☐ Request for Corrected Filing Receipt

☐ Written Opinion

☐ International Preliminary Examination

☐ PCT Demand

☐ Pay Issue Fee

☐ Fee Transmittal

☐ (CPA) Application

☐ Express Abandoned Request

☐ Request for Interview
☐ Date of interview

☐ Additional Enclosure(s):
☐ Please see back for comments